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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. A01199A

First Inventor

Joseph David Tanzer

Title

Method of Improving Viscosity Stability Upon  
Addition of a Colorant Component

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
  2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
  3. ☒ Specification [Total Pages (preferred arrangement set forth below)
    - Descriptive title of the invention
    - Cross Reference to Related Applications
    - Statement Regarding Fed sponsored R & D
    - Reference to sequence listing, a table,  
or a computer program listing appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings (if filed)
    - Detailed Description
    - Claim(s)
    - Abstract of the Disclosure
  4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets   - 5. Oath or Declaration [Total Pages   - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent. Request for Late Filing
17. ☒ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit, \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

(insert Customer Number or Bar Code Label here)

or ☐ Correspondence address below

Name

James G. Vouros

21898

ROHM AND HAAS COMPANY

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State

PA

Zip Code

19106-2399

Country

USA

Telephone

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215-592-2682

Name (Print/Type)

James G. Vouros

Registration No. (Attorney/Agent)

34,453

Signature

Date

12/21/01

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the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC  
20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application,  
Washington, DC 20231.

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

DN A01199A  
GDG:am

In re application of:  
Joseph David Tanzer et al

Serial No.: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filed: Herewith : Examiner: Not Yet Assigned

For: Method of Improving Viscosity Stability Upon  
Addition of a Colorant Component

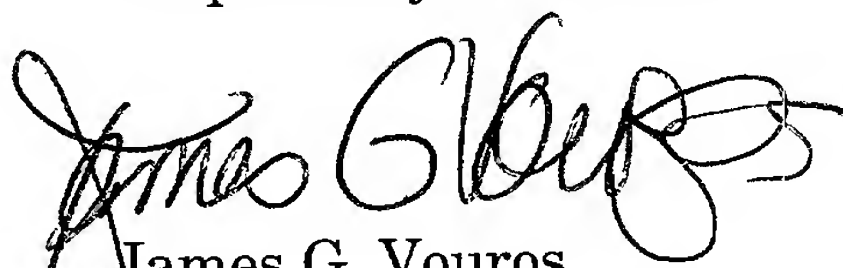
Honorable Commission of Patents and Trademarks  
Washington, DC 20231

**REQUEST FOR LATE FILING OF DECLARATION**

The undersigned attorney for applicants requests that a filing date be granted for the accompanying above-identified application which is not accompanied by an oath or declaration by the inventors, and that said oath or declaration be accepted upon delayed filing, according to 37 CFR 1.53(d).

12/27/2001 MDANTE1 00000038 10025706  
03 FC:105 130.00 CH

Respectfully submitted,

  
James G. Vouros  
Attorney for Applicants  
Registration No. 34,453  
Telephone No. (215) 592-2015

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399  
December 21, 2001

# FEE TRANSMITTAL for FY 2002

*Patent fees are subject to annual revision*

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Joseph David Tanzer
Examiner Name	
Group Art Unit	
Attorney Docket No.	A01199A

TOTAL AMOUNT OF PAYMENT (\$ 1150)

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **18-1850**  
Deposit Account Name **Rohm and Haas Company**

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		101 740 201 370		Utility filing fee	740
		106 330 206 165		Design filing fee	
		107 510 207 255		Plant filing fee	
		108 740 208 370		Reissue filing fee	
		114 160 214 80		Provisional filing fee	

SUBTOTAL (1) (\$ 740)

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
16	-20** = 0	0	0
2	-3* = 0	0	0
Multiple Dependent			280

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
		103 18 203 9		Claims in excess of 20
		102 84 202 42		Independent claims in excess of 3
		104 280 204 140		Multiple dependent claim, if not paid
		109 84 209 42		** Reissue independent claims over original patent
		110 18 210 9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 280)

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		105 130 205 65		Surcharge - late filing fee or oath	130
		127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
		139 130 139 130		Non-English specification	
		147 2,520 147 2,520		For filing a request for ex parte reexamination	
		112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
		113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
		115 110 215 55		Extension for reply within first month	
		116 400 216 200		Extension for reply within second month	
		117 920 217 460		Extension for reply within third month	
		118 1,440 218 720		Extension for reply within fourth month	
		128 1,960 228 980		Extension for reply within fifth month	
		119 320 219 160		Notice of Appeal	
		120 320 220 160		Filing a brief in support of an appeal	
		121 280 221 140		Request for oral hearing	
		138 1,510 138 1,510		Petition to institute a public use proceeding	
		140 110 240 55		Petition to revive - unavoidable	
		141 1,280 241 640		Petition to revive - unintentional	
		142 1,280 242 640		Utility issue fee (or reissue)	
		143 460 243 230		Design issue fee	
		144 620 244 310		Plant issue fee	
		122 130 122 130		Petitions to the Commissioner	
		123 50 123 50		Processing fee under 37 CFR 1.1 7(q)	
		126 180 126 180		Submission of Information Disclosure Stmt	
		581 40 581 40		Recording each patent assignment per property (times number of properties)	
		146 740 246 370		Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 740 249 370		For each additional invention to be examined (37 CFR § 1.129(b))	
		179 740 279 370		Request for Continued Examination (RCE)	
		169 900 169 900		Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

• Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130)

Complete (if applicable)

### SUBMITTED BY

Name (Print/Type)	James G. Vouros	Registration No (Attorney/Agent)	34,453	Telephone	215-592-2564
Signature	<i>James G. Vouros</i>	Date	12/21/01		

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